#	Major Objective	Key Task	Lead/ Responsible	Reviewer/ Supervisory	Task Milestone s	 Frequency	End Date	Detailed Status Update	Outcome Achieved?	Notes
	1 Assessment & Remediation	State Level Self-Assessment Process, Results and Remediation: HCBS Settings for People with IDD: Plan to conduct a meeting to discuss challenges and solutions for compliance with the leasing/ written residency agreement sections of the HCBS Settings Rule	Dianne, Tasha	Bryan, Erin, Lynne						
	2 Assessment & Remediation	•	Charlotte R, Greg, OGC	Erin, Jared						
	3 Assessment & Remediation	·	Greg	Erin, Jared, Mark						
	4 Assessment & Remediation	State Level Self-Assessment Process, Results and Remediation: DOH licensing requirements and regulations: The Task Force will revise the Residential Habilitation regulations to comply with the HCBS Settings Rule, and publish by September 2018	Dianna, Tasha, SODA	Bryan, Erin, Jared						
	5 Assessment & Remediation	State Level Self-Assessment Process, Results and Remediation: All relevant DDS/DDA policies, procedures, and protocols, including Quality Management practices and tools: Revise policy and procedures and develop approach for ongoining review and revision to ensure full compliance with the HCBS Settings Rule no later than March 17, 2019	SODA	Bryan, Erin, Gria, Jared, Mark						
	6 Assessment & Remediation:	DDS Policy on Compliance with HCBS Settings Rule: Human Care Agreements: Train DDS Staff and providers on the new HCA Agreement on January 31, 2017 and February 21, 2017.	Lynne	Lynne						
	7 Assessment & Remediation:		Greg	Erin, Jared						
	8 Assessment & Remediation:	DDS Policy on Compliance with HCBS Settings Rule: Information systems, specifically, MCIS, DDA's central database: Update the Service Coordination Monitoring Tool and link to the Issues system and Provider Performance Review by March 2017.	Musu, Robin R, Shasta, Tasha	Bryan, Bheem, Winslow						

# Major Objective	Key Task	Lead/ Responsible	Reviewer/ Supervisory	Task Milestone s	 Frequency	Start Date	End Date	Detailed Status Update	Outcome Achieved?	Notes
9 Assessment & Remediation:	Provider Systemic Self-Assessment and Remediation: HCBS IDD Waiver Providers: Set milestones and timelines to ensure providers compliance with the HCBS Setting Rule by March 1, 2018. Milstones include 1. engaging with providers in strategic planning on how the provider will make organizational changes to reach full compliance with the HCBS Settings Rule within the next two and half years (by March 19, 2018) and 2. submitting a Provider Transition Plan.	Dianne, Tasha	Bryan							
10 Assessment & Remediation:	Provider Systemic Self-Assessment and Remediation: Provide annual update on day and employment provider compliance for the Statewide Transition Plan update in September 2017	Dianne, Tasha	Bryan							
11 Assessment & Remediation:	Provider Systemic Self-Assessment and Remediation: DDS IT will implement the changes in the electronic version of the tool and linking it to the Issues System, so that any finding of non-compliance automatically generates an Issue for the provider, which is assigned to a DDS staff member for follow-up through to resolution. This will be completed by March 2017.	Musu, Robin R, Shasta, IT								
12 Assessment & Remediation:	Complete site by site assessment of day/ voc settings,	Barbara	Bryan							
13 Assessment & Remediation:	Update NCI Analysis.	Robin B	Erin							
14 Assessment & Remediation:	Quarterly, review, compare and analyze data from PCR and Personal Experience Assessments on HCBS Compliance	Dianne , Tasha	Bryan, Jared							
15 Assessment & Remediation:	Based upon results of PCR monitoring, discuss whether we ought to put any day or residential providers up for Heightened Scrutiny review based on "having the effect of isolating people."	Barbara , Janet	Bryan, Jared							
16 Assessment & Remediation:	Post updated NCI Analysis on Website.	Robin B	Gavin							
17 Assessment & Remediation:	Describe plan for the 5 people living in 2 residential settings on the grounds of a privately owned facility, suitable for including in the annual STP update. (Note, people do not need to transition out until 3/2019 so plan can be as simple as to continue to develop new location/ provider and plan for transition in 2018.)		Bryan, Jared, Winslow							
18 Assessment & Remediation:	To assist providers in achieving compliance with HCBS Settings Rule, develop approach for Heightened Scrutiny and Corrective Action.		Bryan							
19 Assessment & Remediation:	Complete site by site assessment of all residential sttings and develop estimate of compliance of all residential and day providers with the HCBS Settings Rule	Dianne, Tasha	Bryan							
20 Assessment & Remediation:	Monthly, analyze and compile data for each individual HCBS setting (residential and day) based upon site by site assessments. Aggregate data re: HCBS Settings compliance with settings type	Dianne, Ed, Tasha	Bryan, Gavin							

with settings type.

#	Major Objective	Key Task	Lead/ Responsible	Reviewer/ Supervisory	Task Milestone s	Frequency	End Date	Detailed Status Update	Outcome Achieved?	Notes
2	21 Assessment & Remediation:	Update SCMT to include the personal assessment.	Musu, Robin E, Shasta	Bryan, Bheem, Jared, Winslow						
2	22 Assessment & Remediation:	Share updated NCI Analysis with QIC.	Robin B	Bryan, Gavin						
2		Publish aggregate data re: day and residential HCBS Settings compliance on DDS webpage.	QMD, Performance Management & IT	Bryan						
2	Remediation:	Review and confirm results from Personal Assessment Tool and Provider Self-Assessments & Transition Plans are funneling to PPR.	Dianne, Tasha, IT	Bryan, Bheem						
2	Remediation:	Decide which PCR and Personal Assessment indicators to "turn on" with non-compliance resulting in an issue, as well as the timeframe. Share decision with staff and providers.	Barbara, Janet, Robin E.	Bryan, Winslow						
2		Lockable space for residential and day services: Develop guidance around interpretation of provision for Work with HCBS Settings Advisory Group. Coordinate with PCR and SC Monitoring for interpretation and guidance.	SODA	Erin						
2	27 Policy	Revise Training policy and procedure.	SODA	Erin						
2	•	How we deal with restrictions: Convene a stakeholder group and host at least one public forum to discuss proposed changes to behavior support and human rights policy and procedures	Corey, SODA	Bryan, Erin						
2	·	Convene a stakeholder group and host at least one public forum to discuss proposed changes to the Most Integrated Community Based Settings policy to better reflect CMS guidance in the Exploratory Questions.	SODA	Erin						
3	30 Policy	Convene a stakeholder group and host at least one public forum to discuss proposed changes to the Personal Funds policy and procedure to individualize balance between control of personal resources and protections, using standards of CMS Rule.	Lynne, SODA	Bryan, Erin, Jared, Lynne, Winslow						
3	·	Convene a stakeholder group and host at least one public forum to a new policy and procedure on Contribution to Cost of Supports that includes options for peoplewith the financial means to live alone; live above the rent cap; live with people who do not receive DDA supports, including kids. Also need to include provisions to make contribution for people who are working.	SODA with DDA leadership, OGC	Erin, Lynne, Jared, Winslow						
3	•	Convene a stakeholder group and host at least one public forum to discuss a new policy or procedure on the requirement for individualized schedules, based upon guidance already issued.	SODA with DDA leadership	Jared, Winslow, Erin						

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33 Regulations	Update General Provisions regulations re: compliance with HCBS Settings Rule.	SODA	Erin, Jared, Mark							
34 Regulations	Work with DHCF and DOH on revisions to the Ch 35 regs.	Jared, SODA	Erin, Jared, Mark							
35 Regulations	Update Day Habilitation regulations re: compliance with HCBS Settings Rule.	Greg	Erin, Jared, Mark							
36 STP Logistics	Create public comment chart and respond to all public comments with an update to the STP or changes to the plan.	SODA	Erin, Jared							
37 STP Logistics	Work with DHCF to combine the updated STP for submission to CMS	SODA	Erin							
38 STP Logistics	Create website version of STP workplan for sharing with stakeholders.	Robin B	Gavin							
39 STP Logistics	Post website version of STP workplan on website.	Robin B	Bheem							
Compliance	The DMHHS oversees the interagency activities, receives and reviews updated work plans, and convenes interagency meetings, as needed, to check-in with each agency, discuss progress and any challenges, and to ensure each agency is capably handling their components of the Statewide Transition Plan.	Jared	Jared							
•	Develop approach to reviewing policies and procedures and issuing revisions to ensure full compliance by March 17, 2019. All policy and procedure updates will be completed by September 2018	SPCD, Waiver, QMD SODA	Erin, Gria, , Jared, Mark							
42 Sustaining Ongoing Compliance	As results of the assessments, DDS and DHCF have begun, promulgate revised regulations for the HCBS waiver, on an ongoing basis, to ensure full compliance by March 17, 2019. All remaining regulatory updates will be completed by September 2018.	Greg	Erin, Jared, Mark							
43 Sustaining Ongoing Compliance	Incorporate the assessment by the person into ongoing service coordination monitoring activities.	Musu, Robin E, Shasta, IT								
44 Sustaining Ongoing Compliance	Incorporate requirements for Provider certification and licensing that reflect compliance with the HCBS Settings Rule.	Barbara, Janet	Bryan							
45 STP Logistics	Review work plan designed to track each item in the transition plan to ensure timely completion and ensure full compliance with the HCBS Settings Rule no later than March 17, 2019	Robin B	Jared							
46 Training and Capacity Building	Continue offering training on Discovery, Positive Personal Profiles, and Job Search/ Community Participation Plans to	Gria	Gria							

agency and providers

#	Major Objective	Key Task	Lead/ Responsible	Reviewer/ Supervisory	Task Milestone s	 Frequency	End Date	Detailed Status Update	% Complete A	Outcome Achieved?	Notes
	47 Training and Capacity Building	Community Integration in Day Programs: To reinforce the need for high quality community integration activities, 1. monitor the use of the "Issues System" when services for not meet expectation and 2. provide technical assistance on a one-to-one basis with providers during regular service coordination monitoring.	Dianne, Musu, Tasha	Bryan, Winslow							
	48 Training and Capacity Building	Community Integration in Day Programs: Provider Leadership and Day/ Employment Leadership meetings: Provide a forum for training, discussion, information sharing and problem solving around the HCBS Settings Rule	Musu , Tasha	Bryan, Winslow							
	49 Training and Capacity Building	Enhanced Community Life Engagement: seek opportunities to partner with national experts and bring best practices to the District	Rebecca	Erin							
	50 Training and Capacity Building	Enhanced Community Life Engagement: Engage in an eight- month process that includes an introduction to the new ICI/CLE toolkit; opportunities for providers to learn strategies to individualize supports, access community partners, and sequence funds; monthly technical assistance calls; and two site visits. Summarize objectives, action items and next steps for next STP update	Robin E , SODA	Bryan, Erin, Winslow							
	51 Training and Capacity Building	Enhanced Community Life Engagement: Share the tools and what it learns through piloting them at the Day and Employment Providers Community of Practice meetings. Provide summary for next STP update.	Robin E, Rebecca	Erin							
	52 Training and Capacity Building	Person-Centered Thinking Mentoring and Coach Certification: engaged in the CLE pilot.	Gria	Gria							
	53 Training and Capacity Building	Person-Centered Thinking Mentoring and Coach Certification: engage in a year-long process of intensive PCT mentoring and coaching	Daveena, Jackie	Erin							
	54 Training and Capacity Building	Person-Centered Thinking Mentoring and Coach Certification: achieve the requisite capacity the mentor trainers are leading two sets of activities within each day program: (1) PCT modeling; and (2) PCT coach certification and training	Daveena, Jackie	Erin							
	55 Training and Capacity Building	Person-Centered Thinking Mentoring and Coach Certification: technical assistance - intended to increase staff competency in collecting and translating person centered information for the development and implementation of meaningful community integration activities and programs.	Daveena, Jackie	Erin							
	56 Training and Capacity Building	Person-Centered Thinking Mentoring and Coach Certification: year-long PCT coach training and certification process: identify, train and support a group of people within each of the five large day programs to become coaches	Daveena, Jackie	Erin							

#	Major Objective	Key Task	Lead/ Responsible	Reviewer/ Supervisory	Task Milestone s	Ongoing, Frequency Y/N?	End Date	Detailed Status Update	Outcome Achieved?	Notes
	Capacity Building	Inclusive Daytime Programming: Customize "Designing Programming for All" presentation developed by Inclusive Programming Work Group to address the programmatic training needs of sister agencies to create new opportunities for individuals receiving DDS services and supports, including those attending large congregate day habilitation facilities, to access and enjoy District-wide community resources in a meaningful way if they choose.	Shasta, SODA	Erin						
	_	Greater Family Engagement: Participate in the new	Alonzo,	Erin						
		Employment and Families workgroup Employment First: Technical assistance through the EFSLMP,	Tasha , Kat Rebecca	Erin						
	Capacity Building	continues to offer capacity building on Employment First practices	Nebecca	L1111						
	Capacity Building	Monthly Provider Leadership Meetings: 1. Communicate the need and timing for change in practices, policies, regulations, licensing, certification, the waiver, etc., and educate providers during monthly meetings and 2. offer training and TA on community integration/ inclusion at the Day/ Voc Provider CoP meetings. Summarize meeting notes and next steps for next STP update.	Dianne,Tasha , Alonzo	Bryan						
	Capacity Building	New Community Integration Resource: tool is designed to work well with smart-phones, so that direct support professionals have access to it from any location.	Jestina	Bheem, Erin						
	62 Training and	•	Cheryl	Erin, Lynne						
	Capacity Building	Leasing and written residency agreement requirements: Develop and share training/ TA/ toolkit and capacity building opportunities	Dianne, Tasha, SODA , OGC	Bryan, Erin, Mark						
	•	Continue to offer Discovery Training (beginner and intermediate) on a quarterly basis, through the end of FY2016.	Gria	Gria						
	65 Training and Capacity Building	Develop and lead CoP on customized employment.	Rebecca	Erin						
	66 Waiver Amendments	Draft waiver amendments based upon public comments to STP.	Greg	Erin, Jared						
		Draft and publish public notice of waiver amendments and all required documents.	SODA, IT	Erin, Bheem						
		Host at least one public forum to discuss proposed waiver amendments.	Greg	Erin						
	69 Waiver Amendments	Submit waiver amendments to DHCF.	Greg	Erin						