

Provider Sanctions List (Active)

11/14/2016

No.	Provider Name	Serves <i>Evans</i> Class Members?	Do Not Refer Entry Date	Date of initial PCR report	Date placed on Enhanced Monitoring	Termination Status	Reason
1	Amazing Grace	No	11/14/16		11/14/16		Placed on DNR and EM as a result of IMEU investigation findings (16-1287) specific findings which indicated that the agency failed to adhere to Chapter 19, Home and Community -Based Services Waiver for Individuals with Intellectual and Developmental Disabilities of Title 29, Section 1906 (Requirements for Direct Support Professionals) and in failing to adhere to DDS Training Policy and Procedures.
2	Art & Drama Therapy Institute, Inc.	Yes	7/13/15		7/13/15	On 07/30/2015, DHCF sent a Notice of Intent to terminate Medicaid Provider Agreement for failure to substantially comply with several rules and requirements. Prior to this letter, ADTI requested a hearing with OAH to dispute DDS' orders during the investigation. On 08/19/ 2016, ADTI filed a Motion to dismiss the OAH case. Awaiting OAH action on Motion.	Failed to adequately address the actions of staff that pose imminent risk to people ADTI supports.

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3	Art & Drama Therapy Institute, Inc.	Yes	10/6/15	10/6/15	5/27/16	On 06/7/2016, a letter was sent to Art & Drama Therapy Institute (ADTI) notifying them of DDS's recommendation to terminate ADTI's Medicaid provider agreement for failure to achieve satisfactory or better scores on its Provider Certification Review (05/10/16) in Day Habilitation, Employment Readiness, Supportive Employment Job Training and Support, Day Habilitation One-on-One, or Individualized Day Supports.	Scored below 80% on organizational element at the time of annual initial PCR. On 12/15/15 ADTI passed its Follow Up PCR. DDA decided on 12/15/15 to keep ADTI on the Do Not Refer List until concerns are addressed. On 03/25/16, ADTI scored below 80% on the organizational element at the time of the six month initial PCR. Based on six month follow-up PCR report (05/10/16), ADTI failed to achieve at least a satisfactory score. DDS placed ADTI on Enhanced Monitoring (see 05/27/16 letter).
4	Carl's Place	Yes	9/13/16		9/13/16		Placed on DNR and EM after results of an independent audit for 1/1/2013 -12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS Personal Funds Procedure for two consecutive years.
5	Carl's Place	Yes	7/15/14	7/15/14	10/29/14	On 10/29/14, DDS recommended the termination of Carl's Place Medicaid Provider Agreement for Residential Habilitation & Supported Living services for failure to achieve satisfactory scores on their PCR. On 05/01/2015, DHCF received a response to the Notice of Intent to Terminate from Carl's Place. On 07/13/2015 DHCF sent a Notice of Termination to Carl's Place. Carl's Place appealed the Notice of Termination on 08/27/2015.	Scored below 80% on Organizational at time of initial PCR. Failed Annual PCR on Follow Up on 10/21/14.

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6	Community Multi-Services (3815 Albemarle Street, NW)	Yes	9/19/16		9/19/16		Placed on DNR and EM based on Department of Health/Health Regulation and Licensing Administration investigation under 42 CFR 483.420 Client Protections directly related to Incident #16-1246 that occurred on August 19, 2016.
7	Deaf Reach	Yes	10/4/16	10/4/16			Scored below 80% on organizational elements at the time of initial PCR.
8	Eckington House	No	9/13/16		9/13/16		Placed on DNR and EM after results of an independent audit for 1/1/2013 -12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS Personal Funds procedure for two consecutive years.
9	Fescum, Inc.	Yes	10/24/16		10/24/16		Placed on DNR and EM for failure to provide adequate oversight of staff; failure to ensure staff are properly trained; incomplete personnel records as to ensure all staff meet all requirements; and ensuring documentation for services rendered are documented, complete, and accurate. These findings were discovered by a Quality Resources Specialist's review conducted in September 2016.

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10	Innovative Life Solutions (3259 O Street, SE)	Yes	9/22/16		9/22/2016		Placed on DNR and EM based on Department of Health/Health Regulation and Licensing Administration investigation under 42 CFR 483.420 Client Protections directly related to Incident #16-1354 that occurred on September 15, 2016.
11	Innovative Life Solutions	Yes	9/16/16				For the appearance of overbilling a person they support. A referral has been sent to the Department of Health Care Finance (DHCF) as an Allegation of Fraud.
12	Kennedy Institute	Yes	11/4/16		11/4/2016		Additionally placed on DNR and EM based on recommendation from PCR panel as a result of Kennedy Institute not being able to show a sustained level of satisfaction from one PCR review period to the next.
13	Kennedy Institute	Yes	9/15/16		9/15/2016		Additionally placed on DNR and EM after results of an independent audit for 1/1/2013-12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS personal Funds procedure for two consecutive years.
14	Kennedy Institute	Yes	9/13/16	9/13/16			Scored below 80% on organizational elements at the initial PCR.
15	Life Line, Inc.	Yes	9/13/16		9/13/16		Placed on DNR and EM after results of an independent audit for 1/1/2013 -12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS Personal Funds procedure for two consecutive years.

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16	Marjul Homes, Inc. (Residential and Supported Living only)	Yes	10/7/16	10/7/16			Scored below 80% on organizational elements at the time of initial PCR.
17	Marjul Homes, Inc.	Yes	9/13/16		9/13/16		Placed on DNR and EM after results of an independent audit for 1/1/2013 -12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS Personal Funds procedure for two consecutive years.
18	My Own Place	Yes	9/13/16		9/13/16		Placed on DNR and EM after results of an independent audit for 1/1/2013 -12/31/2013 and 1/1/2014 - 12/31/2014 for Evans and Non-Evans class members revealed failure to adhere to DDS Personal Funds Procedure for two consecutive years.
19	Project Redirect, Inc.	Yes	9/15/16		9/15/16		Additionally placed on DNR and EM for failure to adhere to DDS Incident Management and Enforcement policy.
20	ResCare WV (Supported Living, Day Habilitation , and Day Habilitation one-to-one, only)	Yes	9/30/16	9/30/16			Scored below 80% on organizational elements at initial annual PCR.

Total number of entries affecting *Evans* class members: 18

Total number of entries not affecting *Evans* class members: 2

If you have questions, please contact Bryan Chase at **(202) 538-0337** or bryan.chase@dc.gov or Dianne Jackson at **(202) 664-7471** or dianne.jackson3@dc.gov.