

PCR Tool Changes for 2014

Based on final rulemaking of DC 2012 HCBS waivers



Timeline


November 20, 2012- CMS renewal of DC HCBS waiver

January 2013-March 2014 Publication of Emergency Rules for General Provisions and each 2012 waiver service.

January 2014- April 2014- Publication of Final Rulemaking for General Provisions and each 2012 waiver service.

Dates final rulemaking adapted for services reviewed by the PCR:

- Day Habilitation = October 28 , 2013
- Respite = January 31, 2014
- Host Home = February 20 , 2014
- Individualized Day Supports = February 20 , 2014
- Employment Readiness = March 7, 2014
- In Home Supports = March 18, 2014
- Supported Living = March 21, 2014
- Residential Habilitation = March 21, 2014
- Supported Employment = March 26, 2014
- General Provisions = April 18, 2014



Final Rules have dates from October 28 , 2013 with the last of the rules becoming finalized April 18, 2014.

What does this mean?

- Service Rules have been in effect since their respective implementation dates.
- Establish general standards for services provided to participants
- Establish conditions of participation for providers
- Providers should already have these in place and be implementing them.

When will the PCR begin measuring these standards?

October 1, 2014

What **changes** to the waivers will the PCR be measuring?

General Provisions (April 18, 2014)

Covers all services in the PCR

- * Provider Qualifications-
 - * Owners/operators have degree in SS field + 3 yrs experience (degree in non SS field with 5 yrs exp.)
 - * Program Manger- BS in SS field and 5 yrs leadership/management experience (MS degree with 3 yrs exp.)
 - * Supervisor of DSP have two years of experience in DD services

General Provisions (April 18, 2014)

Covers all services in the PCR

- * Services providing transportation
 - * Vehicle has valid license/registration/insurance/
Certificate of Inspection (6mo)/ functioning seatbelts/
air conditioning/ Certified by Washington Metropolitan
Area Transit Commission/ adapted to mobility needs/
regular safety checks
 - * Staff has valid license, CPR, First Aid

General Provisions (April 18, 2014)

Covers all services in the PCR

- * ISP

- * Develop goals/objectives within 30 days of initiation of services
- * Submit quarterly reports no later than 7 (b) days after end of quarter. Quarter begins on effective date of ISP
- * Quarterly report to SC, family as applicable, substitute decision maker

General Provisions (April 18, 2014)

Covers all services in the PCR

* Records

- * Full access to all records to DHCF and DDS staff, including archival records.
- * Providers Functional Assessment for each service
- * Schedule of person's community activities including strategies to execute goals, date and time of activity
- * For all goals- teaching strategies utilized, person's response to strategies.
- * Supervision Plan for DSPs to include: performance goals, job description, DSP feedback section, signatures and dates of DSP and supervisor
- * General information sheet: name, demographics, health information, important people in person's life and on team etc.

General Provisions (April 18, 2014)

Covers all services in the PCR

- * Minimum Daily progress notes for all services
 - * Progress on goals, health/behavioral events, community activities, start/stop time of any services, DSP's signature, matters requiring F/U.
- * Quarterly notes
 - * Analysis of goals, service interventions provided and effectiveness, habilitative support activities , modifications or recommendations.
- * Policy developed for location of records for non- facility based programs (SE, and Individualized Day)
- * Electronic records
 - * Controls to insure integrity, accuracy, authenticity, reliability
 - * Ability to retain, preserve, retrieve and reproduce
 - * Ability to convert paper originals back to paper copies
 - * Ability to create back-up files
 - * Appropriate security for records

General Provisions (April 18, 2014)

Covers all services in the PCR

- * Individual Rights

- * Review 1911.1 to insure that you are covering these topic areas when talking to people about their rights

Day Habilitation (October 28, 2013)

- * Criteria
 - * Community based and offer skill building in most integrated setting
- * Activities consist of :
 - * Skill development to increase community participation, greater independence, in line with person's interest and preferences, opportunities for leisure and social connections, learn public transportation.

Day Habilitation (October 28, 2013)

- * Provider to develop day habilitation plan to include activities that are functional, chosen by person, provide pattern of experiences common to general public.
- * Development of plan to include: functional analysis of person's capabilities within first month and annually, identify measurable outcomes , focus on enabling person to attain maximum functional level and coordinate with other services to achieve this.

Respite (January 31, 2014)

- * Little change from previous waiver except as specified in the general provision changes
- * Respite daily- Group home, Res Hab home, or Sup Living Home which has been approved
- * Respite services can not be provided by : primary care giver, spouse, parent of a minor child, legal guardian, or a provider already receiving reimbursement for general care.

Host Home (February 20, 2014)

- * Principle care provider (host home parent) develops assessment (through person centered thinking tools) within first month of service; attends ISP, coordinate all services and modifications from ISP, develop a support plan , on a quarterly basis review ISP and POC goals/ objectives, on a quarterly basis review all activities, and submit quarterly reports per general provision rule.
- * Waiver provider is also to conduct an assessment and develop a plan within the first 30 days of service delivery.
- * A principle care provider can be a relative who is not a parent, spouse, legal substitute decision maker
- * Waiver provider of Host Home must have 5 years of experience in leadership role with a residential provider in IDD services

Host Home (February 20, 2014)

- * Waiver Provider Documentation Requirements
 - * Staffing plan if additional staff is providing service in home along with staff responsibilities when principal care provider is unavailable
 - * Nursing Care provided
 - * Weekly progress notes from the principal care giver: progress in meeting ISP goals, health or behavioral events, community activities to include person's response to activities, any matters requiring F/U
 - * There is a contract between the Waiver provider and the Host Home care provider which outlines the expectations of both parties

Individualized Day (February 20 2014)

- * New Waiver Service
- * Provider must document need for service is consistent with one or more of:
 - * Choice of participant for non traditional day program
 - * Transitioning into retirement, or in retirement and chooses day program
 - * Has ISP goals for community integration
 - * Person is likely to succeed in achieving ISP goals
 - * Has documented need for this service due to medical or safety reasons.

Individualized Day (February 20 2014)

- * Service must be highly individualized
 - * Structured activities emphasizing social skill development, vocational exploration, life skills training
 - * Maximize functional levels
 - * Support person's choice in areas of interests and preferences
 - * Enhance adult skill development in social, community and leisure activities
 - * Insure transportation is coordinated, or person trained in use of public transportation
 - * Maintain a staff to person ratio up to 1:2

Individualized Day (February 20 2014)

- * A contingency plan for staffing supports when regular staff are not available
- * There a community integration plan that meets DDS standards:
 - * Schedule of activities with start and end times
 - * Goals and measurable outcomes
 - * Strategies used to achieve goals
 - * Teaching strategies, and response to questions: What worked and what didn't work
 - * Observation noted by DSP as to newly required skills
 - * Learning styles

Employment Readiness (March 7, 2014)

* Criteria

- * Develop general, non job, task-specific strengths and skills that contribute to getting a job consistent with a person's goals
- * Annual assessment- person centered and looks at vocational, situational, and employment/employment readiness aspects
- * Volunteering- time limited and based on employment goal, at not-for-profit or gov. agency
- * Volunteering cannot occur- provider agency or business affiliated with provider on an on-going basis
- * Employment Readiness service plan to include: specific outcomes with time frames , functional activities based on choice, strategies for skill development
- * Have one member with a BS in vocational rehab or similar discipline with 1 year experience with IDD population

In Home Supports (March 18, 2014)

- * In Home Support Plan submitted annually
 - * Include training and support activities in one or more of following: daily living skills, independent living skills, community integration, financial management, health and safety , home maker tasks, behavioral supports, coordination of transportation.
- * Daily progress notes: community activities, habilitative supports, special events, dates and times of service, reactions of person to activities and supports
- * Family members can provider supports – must not be for routine care and supervision normally provided by family, guardian spouse. Must be for services spelled out in ISP goals.

Supported Living (March 21, 2014)

- * Waiver rules outlines Supported Living special services: 1:1 supports, skilled nursing supports (new PCR indicators, with transportation (new PCR indicators),
- * Develop a functional assessment first month of service
- * Develop Support Plan with measurable objectives based on Functional assessment, using person centered process, that implements ISP goals
- * Insure staff in day and supported employment services receive training in health care needs as identified by the nurse and on the HCMP
- * Documentation of nursing care and assessments

Residential Habilitation (March 21, 2014)

- * Complete a functional assessment within the first month of services and use this in the development of a support plan
- * Except of requirements under general provisions, there is little change in this waiver

Supported Employment (March 26, 2014)

- * Three models
 - * Individual, Group Supported Employment – 2-8 people, Entrepreneurial-small business development
- * Types of Service
 - * I&A- determine interests, strengths, preferences, and skills with a resultant person-centered employment plan(including tasks identified for sm. business startup)
 - * Job Placement- completing applications, visiting work sites, interview, implementation of business plan
 - * Job Training and Support- enhance work performance as it relates to job requirements, transportation, appropriate attire, work social skills
 - * Long Term Follow along- enhance job stability, facilitate development of natural supports at job site.
- * When needed- work to insure functional job adaptations and/or modifications are put in place
- * When needed- Develop an emergency back up support plan
- * Time in transportation to and from the site is not reimbursable

New PCR tool indicators

- * Based on the new waiver rules, the PCR indicators have been changed. There are:
 - * New indicators
 - * Changed Indicators
 - * Eliminated Indicators
- * Additionally there will be new guidance for some of the current indicators that are based on new waiver requirements that have been outlined in this presentation.
- * REVIEW THE WAIVER RULES FOR SERVICES IN YOUR ORGANIZATION.



What next?

KNOW THE WAIVER RULES FOR SERVICES IN YOUR ORGANIZATION.

INSURE IMPLEMENTATION OF REQUIREMENTS HAS STARTED PER THE FINAL RULE DATE FOR EACH SERVICE PROVIDED

STARTING OCTOBER 1, 2014, PCR TEAM WILL BE MEASURING PROVIDER IMPLEMENTATION OF THESE RULES