

GOVERNMENT OF THE DISTRICT OF COLUMBIA
Department on Disability Services



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| Effective Date: November 14, 2011 | Number of Attachments: 0 |
| Responsible Office: DDS Deputy Director, Developmental Disabilities Administration | |
| Supersedes Policy: N/A | |
| Title/Subject: ICF/IDD Level of Care Determination | |
| Cross-References: Individual Service Plan Policy and Procedure; HCBS Waiver Application Process; Level of Need Assessment and Screening Tool Policy and Procedure | |

1. PURPOSE

The purpose of this policy is to introduce the use of a comprehensive and uniform assessment tool to provide uniform information upon which the District will make Level of Care determination decisions for eligibility for participation in the ICF/IDD and ID/DD Home and Community-Based Services Waiver programs.

2. APPLICABILITY

This policy applies to all DDA employees, subcontractors, providers/vendors, consultants, volunteers, and governmental agencies that provide services and supports on behalf of individuals with disabilities receiving services as part of the DDA Service Delivery System funded by DDA or the Department of Health Care Finance (DHCF).

3. AUTHORITY

Department on Disability Services as set forth in D.C. Law 16-264, the "Department on Disability Services Establishment Act of 2006," effective March 14, 2007, (D.C. Official Code § 7-761.01 *et seq.*); and D.C. Law 2-137, the "Mentally Retarded Citizens Constitutional Rights and Dignity Act of 1978," effective March 3, 1979 (D.C. Official Code § 7-1301.01 *et seq.*).

4. POLICY

All persons requesting services through the Department on Disability Services (DDS) provided in an Intermediate Care Facility for individuals with Intellectual and Developmental Disabilities (ICF/IDD) home or through the DD Medicaid Home and Community-Based Services (HCBS) waiver program must have an ICF/IDD level of care determination completed to determine eligibility for the program. A level of care re-determination must be conducted on an annual basis to recertify on-going eligibility for participation in the Medicaid program.

5. RESPONSIBILITY

The responsibility for this policy is vested in the Director, Department on Disability Services. Implementation for this policy is the responsibility of the Deputy Director, Developmental Disabilities Administration

6. CRITERIA:

Per Title 29 DCMR, Section 1902.4, an individual meets the level of care determination if one of the following criteria has been met:

- (a) The individual's primary disability is intellectual disability with an intelligence quotient (IQ) of 59 or less;
- (b) The individual's primary disability is intellectual disability with an IQ of 60-69 and the individual has at least one of the following additional conditions:
 - 1. Mobility deficits;
 - 2. Sensory deficits;
 - 3. Chronic health needs;
 - 4. Behavior challenges;
 - 5. Autism;
 - 6. Cerebral Palsy;
 - 7. Epilepsy; or
 - 8. Spina Bifida.
- (c) The individuals' primary disability is intellectual disability with an IQ of 60-69 and the individual has severe functional limitations in at least three of the following major life activities:
 - 1. Self-care;
 - 2. Understanding and use of language;
 - 3. Functional academics;
 - 4. Social Skills;
 - 5. Mobility;
 - 6. Self-direction;
 - 7. Capacity for independent living;, or
 - 8. Health and Safety.
- (d) The individual has an intellectual disability, has severe functional limitations in at least three of the major life activities set forth in (c) 1-8, and has one of the following diagnoses: autism, cerebral palsy, prader willi or spina bifada.

7. STANDARDS:

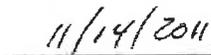
The following are the standards by which DDS will evaluate compliance with this policy:

- 1) Individuals who are found eligible for services from DDS's Developmental Disabilities Administration (DDA) are individuals who have been determined to have a diagnosis of an intellectual disability.
- 2) The DDA Intake and Eligibility Determination Unit obtains age-appropriate psychological evaluations during the eligibility determination process that includes the individual's IQ.
- 3) The DC Level of Need Assessment and Screening Tool (DC LON) is a comprehensive assessment tool, which documents an individual's health, developmental and mental health diagnoses, and support needs in all major life activities to determine the level of care determination criteria specified in (b) 1-8 and (c) 1-8 above.
- 4) The DDA Intake and Eligibility Determination Unit Service Coordinator will complete the DC LON based on information obtained in the DDA Intake application, medical examination, social work history, psychological evaluation, school records, vocational assessments, and/or other available background information and interviews. The DC LON also provides an assessment of the individual's functional developmental, behavioral, social, health and nutritional needs, which is required by an ICF/IDD program to determine if admission to that specific program is appropriate.
- 5) The "additional conditions" specified in the level of care determination criteria in (b) 2,3,5,6,7,8 are found in the DC LON at questions 15 and 16. The criteria for (b) 1 is considered met if the individual receives a score of 2 or higher on the Mobility scale in the DC LON Summary Report, and (b) 4 is considered met if the individual receives a score of 2 or higher on the PICA, Behavior or Psychiatric scale in the DC Lon Summary Report.
- 6) The criteria for severe functional limitations in the following major life activities specified in the level of care criteria in (c) is considered met by the following scores in the DC LON Summary Report:
 - a) Self-care - Score of 3 (out of 8) or higher in Personal Care
 - b) Understanding and use of language- Score of 2 (out of 4) or higher in Communication
 - c) Functional academics- refer to the Psychological evaluation
 - d) Social Skills- Score of 3 (out of 7) or higher in Social Life
 - e) Mobility- Score of 2 (out of 7) or higher in Mobility
 - f) Self-direction-Score of 1 (out of 3) or higher in Comprehension and Understanding

- g) Capacity for independent living-Score of 2 (out of 6) or higher in Daily Living
 - h) Health and Safety- Score of 2 (out of 7 or higher) in Health or 2 (out of 7) or higher in Safety
- 7) When a person served by DDA has chosen and is accepted by an ICF/IDD program, DDA will submit the medical evaluation, psychological evaluation, the DC LON and the DC LON Summary Report, along with Form 1728 to Delmarva to complete the ICF/IDD level of care determination.
 - 8) If the person seeking supports has chosen to receive services through the ID/DD Home and HCBS waiver program, the DDA Service Coordinator submits the waiver application package, inclusive of the medical evaluation, psychological evaluation, DC LON and Summary Report, to the DDA Waiver Unit. The DDA Waiver Unit completes the initial level of care determination as part of the eligibility review for the ID/DD waiver program.
 - 9) The staff qualifications to make a level of care determination are either QDDP qualified or a licensed Registered Nurse.
 - 10) The level of care must be re-determined on an annual basis per 42 CFR 441.302 (c)(2) for the ICF/ID program and the approved DC ID/DD HCBS waiver. The DC LON is completed prior to the team's review of the Individual Service Plan and will be utilized to re-determine the level of care criteria.
 - 11) For persons receiving services in an ICF/IDD program, the ICF/IDD provider is responsible for submitting the completed DC LON and Summary Report, the continued stay Recertification Form completed in its entirety and the physician's certification and recertification for skilled and intermediate care form dated with the physician's signature to DHCF for the re-determination for the ICF/IDD program.
 - 12) For persons enrolled in the DD HCBS waiver program, the DDA Service Coordinator completes the level of care re-determination as part of the annual review and update of the Individual Service Plan.
 - 13) The DDA Waiver Unit verifies the level of care re-determination has been completed prior to authorizing the new waiver Plan of Care.



 Laura L. Nuss, Director



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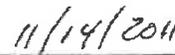
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