

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

| Identifier | Domain | Weight | QA/QI | Alert | Indicator | Intent |
|----------------|--------|--------|-------|--------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CQ.1 | 1 | 10 | QA | | Do the staff and the organization promote an environment that respects the person and treatsthem in a dignified manner? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and dignity. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. . It also includes all waiver rules. |
| CQ.2 | 1 | 3 | QA | | Is the person's right to privacy acknowledged andpracticed? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and privacy. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. . It also includes all waiver rules. |
| H.DS.41 | 1 | 3 | QA | | Is the person provided privacy to attend to theirpersonal needs? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and dignity. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. It also includes all waiver rules. |
| H.CQ.46 | 1 | 3 | QA | ¹ | Does the person have access to use a phone or computer privately, with or without support, basedon the person's preferences? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and dignity. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. It also includes all waiver rules. |
| H.CQ.47. DS | 1 | 3 | QA | | Is there flexibility in the schedule, which supportsthe person in choosing when and where they eattheir meals? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and dignity. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. It also includes all waiver rules. |
| H.CQ.48. DS | 1 | 3 | QA | | Unless the person has documented health conditions, which would prohibit snacking, are snacks available and accessible at any time for theperson? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to respect and dignity. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, and DSP training. It also includes all waiver rules. |
| CQ.3 | 1 | 3 | QA | | Is the person and/or their representative aware ofactions they can take if they feel they have been treated unfairly, have concerns or are displeased with the services being provided? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to rights and choice. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, Internal Resolution and DSP training. |
| T.CQ.16.DS | 1 | 3 | QA | | Using an individual schedule, is the person engagedin productive, outcome oriented activities which focus on their needs and desires and offer an opportunity for growth? | The intent of this indicator is to ensure the provider is in compliance with all DDS policies and procedures related to ISP's and Human Rights as well as compliance with the General Provisions waiver rule and the individual service waiver rules. |

¹ If there is a check in the Alert column, the indicator could be scored as an Alert.

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| CQ.A | 1 | 0 | | | Does the person exhibit behaviors/symptoms that would benefit from the implementation of a BSP? | |
| CQ.A.1 | 1 | 3 | QA | | If needed, has a BSP been developed or is one currently under construction? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP development. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, Behavior Support, DSP training and Waiver Service Rules. |
| CQ.A.2 | 1 | 5 | QA | | If there is a BSP, does it meet the requirements set forth in DDS policy? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP development. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, Behavior Support, DSP training and Waiver Service Rules. |
| CQ.A.3 | 1 | 5 | QA | | If there is a BSP, is it monitored for progress or need for revision? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP monitoring. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, IMEU, Behavior Support, DSP training and Waiver Service Rules. |
| CQ.A.5.14 | 1 | | QI | | If there is a BSP, was the provider able to show progress in this review period toward meeting the requirements identified in the fade plan? | The intent of this QI indicator is to measure if the provider has initiated practices that go beyond providing basic behavioral supports for the person and shows improvement as outlined in the BSP, or modifications to the BSP to better create an environment for improvement. |
| CQ.B | 1 | 0 | | | Does the BSP contain restrictive components? | |
| CQ.B.1 | 1 | 3 | QA | | If there is a BSP, is there evidence the BSP has been reviewed and approved by the agency HRC? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP implementation and human rights. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, Restrictive Control Review Committee, IMEU, Behavior Support, DSP training and Waiver Service Rules. |
| CQ.B.2 | 1 | 3 | QA | | If there is a BSP, is there written evidence the BSP being implemented has been approved by the person and/or their guardian? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP implementation and human rights. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, Restrictive Control Review Committee, IMEU, Behavior Support, DSP training and Waiver Service Rules. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
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| CQ.B.4 | 1 | 3 | QA | | Did the provider complete all action steps to ensure approval by the DDS RCRC? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to BSP implementation and human rights. This includes, but may not be limited to the following DDS policies and procedures: ISP, Human Rights, Restrictive Control Review Committee, IMEU, Behavior Support, DSP training and Waiver Service Rules. |
| DH.50 | 1 | 1 | QA | | Is there documented collaboration between the day habilitation and residential providers in implementing the BSP? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the continuity of behavioral services. This includes, but may not be limited to the following DDS policies and procedures: Human Rights, Behavior Support, and the General Provisions Waiver rule. |
| CQ.34.14 | 2 | 10 | QA | Yes | Do the staff promote and implement practices that ensure the safety of the person? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to ensuring people are safe. Safety could include ensuring people are educated about abuse and neglect, the proper staff ratio is maintained, the home has the required emergency supplies needed for the person, there are no environmental issues putting the person at risk, the staff's background does not put the person at risk, that staff can communicate with the person. This includes, but may not be limited to the waiver general provisions and the waiver service rules. |
| H.DS.5 | 2 | 3 | QA | | Does the person have a secure place to store their belongings during the day? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules associated with people's rights. This includes, but is not limited to the following DDS policies, procedures and waiver rules: ISP, Human Rights, General provisions rule and all individual service rules. |
| CQ.5.12 | 2 | 3 | QA | | Does the person know what to do and where to go in the event of an emergency and is it consistent with the written individualized emergency plan and in accordance with current DDS standards? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to individuals being safe during times of emergencies. This includes, but may not be limited to the following DDS policies and procedures: Waiver service rules and the Fire and Natural disaster Policy and Procedures. |
| CQ.26 | 2 | 10 | QA | Yes | Is there written evidence that each direct support staff that works with the person has received person specific training on all current documents, information and required supports relevant to the service being provided? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to staff training. This includes, but may not be limited to the following DDS policies and procedures: Waiver service rules, Health and Wellness Standards and the DSP Training Policy and Procedures. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
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| CQ.40.16 | 2 | 1 | QA | | Do the people conducting Phase II training have first-hand knowledge of the person and the subject matter? | The intent of the indicator is to ensure compliance with all DDS policies, procedures, guidelines and waiver rules related to staff training. This includes, but is not limited to the DDS Training policy and procedures and the General Provisions Waiver rule. |
| CQ.27 | 2 | 5 | QA | | Are the Direct Support staff and their supervisors able to demonstrate competency in person specific training through conversation and actions? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to staff competency. This includes, but may not be limited to the following DDS policies and procedures: Waiver service rules, Health and Wellness Standards, BSP policy and Procedures, Fire and Natural Disaster Policy and procedure, IMEU Policy and Procedures, and the DSP Training Policy and Procedures. |
| CQ.D | 2 | 0 | | | Does the person have an identified need to use adaptive equipment or durable medical supplies? | |
| CQ.D.1 | 2 | 10 | QA | | Is the adaptive equipment or durable medical supplies in good repair? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to adaptive equipment. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards and Utilization of Local Dollars for Adaptive Equipment Policy and procedure. |
| CQ.D.2 | 2 | 10 | QA | | Do staff know how to support the person in using the adaptive equipment or durable medical supplies? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to adaptive equipment. This includes, but may not be limited to the following DDS policies and procedures: Waiver service rules, Health and Wellness Standards, Fire and Natural Disaster Policy and procedure, Utilization of Local Dollars for Adaptive Equipment Policy and procedure, and the DSP Training Policy and Procedures. |
| CQ.D.3 | 2 | 10 | QA | | Does the person use the adaptive equipment or durable medical supplies to meet the prescribed need? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to adaptive equipment. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards, Fire and Natural Disaster Policy and procedure, Utilization of Local Dollars for Adaptive Equipment Policy and procedure, and the DSP Training Policy and Procedures. |
| H.CQ.R | 2 | 0 | | | Does the person have access needs and/or functional needs which may require supports and/or modifications to the environment? | |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

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| H.CQ.R.1 | 2 | 3 | QA | | If the person has access needs and/or functional needs which may require supports and/or modifications to the environment, have they been provided, resulting in free access to common areas? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to accessibility of home and program areas. This includes, but is not limited to: Waiver General Provisions. |
| CQ.EE.14 | 2 | 0 | | | Has the person been the recipient of a Serious Reportable or Reportable incident within the past year with this provider? | |
| CQ.EE.1.14 | 2 | 10 | QA | Yes | If the person been the recipient of a SRI or RI within the past year with this provider were steps taken to protect the person while the investigation was taking place? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to protecting people who have been the recipient of an SRI or RI. This includes, but may not be limited to the following DDS policies and procedures: IMEU Policy and procedures. |
| CQ.EE.2 | 2 | 10 | QA | Yes | If the investigation of the SRI was substantiated, were remedies put in place to avoid reoccurrence? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to protecting people who have been the recipient of an SRI or RI. This includes, but may not be limited to the following DDS policies and procedures: IMEU Policy and procedures. |
| CQ.EE.3.14 | 2 | 5 | QA | | Was the incident reported within the required timeframe? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to protecting people who have been the recipient of an SRI or RI. This includes, but may not be limited to the following DDS policies and procedures: IMEU Policy and procedures. |
| CQ.EE.4.14 | 2 | 5 | QA | | Was an incident investigation completed according to DDS guidelines? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to protecting people who have been the recipient of an SRI or RI. This includes, but may not be limited to the following DDS policies and procedures: IMEU Policy and procedures. |
| CQ.EE.5.14 | 2 | 10 | QA | | If there were remedies and/or recommendations associated with the incident, were they implemented in a timely manner? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to protecting people who have been the recipient of an SRI or RI. This includes, but may not be limited to the following DDS policies and procedures: IMEU Policy and procedures. |
| H.CQ.40.DS | 2 | 3 | QA | | Is the person able to access their money when they want to, and without advanced notice? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules associated with people's rights. This includes, but is not limited to the following DDS policies, procedures and waiver rules: ISP, Human Rights, General provisions rule and all individual service rules. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
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| CQ.K | 3 | 0 | | | Is the person currently receiving Residential Habilitation, Supported Living or Host Home services? If yes, answer CQ.K.1 and CQ.K.2 | |
| CQ.K.1 | 3 | 3 | QA | | If the person has health concerns, does the HCMP address those concerns? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to people's health. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards. |
| CQ.K.2 | 3 | 3 | QA | | Is a current health passport that meets the requirements of the Health & Wellness Standards in the person's file? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to people's health. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards. |
| CQ.K.4 | 3 | 15 | QA | Yes | Are protocols listed on the HCMP being implemented by all staff? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of a HCMP. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards. |
| CQ.7 | 3 | 3 | QA | | If the person takes medication that has side effects which could potentially affect their active participation in services, are staff aware of the side effects? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to people's health. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, DSP Training Policy. |
| CQ.8 | 3 | 15 | QA | Yes | If the person has health concerns that may impact the service, are staff aware of those concerns and able to explain the process for reporting? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to people's health. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, DSP Training Policy and the IMEU Policy and Procedure. |
| CQ.9 | 3 | 15 | QA | Yes | If the person has restricted mobility needs through the use of a wheelchair or other medical equipment, is there a clear and consistent plan for its use regarding positioning, and physical transfers, when equipment should be worn, etc.? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to people's health that have limited mobility. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards. |
| CQ.F | 3 | 0 | | | Is the person prescribed psychotropic medications? | |

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Effective 11.7.22

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| CQ.M | 3 | 0 | | | Is the person currently being treated for seizures? | |
| CQ.M.1 | 3 | 3 | QA | | If the person is currently being treated for seizures, is a written seizure record maintained that meets the requirements outlined in the DDS Health and Wellness Standards? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the tracking of seizures. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, the ISP Policy and procedures, DSP Training Policy and Procedure, and the Waiver Service rules. |
| CQ.13 | 4 | 3 | QA | | Does the provider create an environment in which self-advocacy and choice is encouraged, supported and taught? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the Quality Outcomes outlined in the Individual Support Plan policy and procedure for Choice and Decision Making. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, the Waiver Service rules, Human Rights Definitions and the Internal Problem Resolution Policy and Procedure. It also includes all waiver rules. |
| CQ.14 | 4 | 10 | QA | | Do the goals/objectives the person is working on match their priorities regarding their hopes, dreams and values? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the Quality Outcomes outlined in the Individual Support Plan policy and procedure for Choice and Decision Making. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. It also includes all waiver rules. |
| H.CQ.41 | 4 | 3 | QA | | Does the person have access to things that interest them and can the person use them or participate when they would like to do so? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, published guidelines and waiver rules related to service delivery. This includes, but may not be limited to the following DDS policies, procedures and waiver rules: ISP, Human Rights, General Provisions and individual service rules. |
| H.CQ.50. DS | 5 | 3 | QA | | Does the person engage in meaningful, non-work activities in the community? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions and individual service rules. |
| H.CQ.51 | 5 | 3 | QA | | Is the person using community resources such as parks, recreational centers and community health clinics? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions and individual service rules. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

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| CQ.F.1 | 3 | 3 | QA | | Is there an easy to understand information sheet for all psychotropic medications available to all staff that describes the potential side effects and potential adverse drug interactions that may occur from use of the medication? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the use of psychotropic medications. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards. |
| CQ.G | 3 | 0 | | | Does the person have special dietary needs? | |
| CQ.G.1 | 3 | 3 | QA | | If the person has special dietary needs, are staff able to articulate them? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the dietary needs of a person. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, and the DSP training Policy and Procedures. |
| CQ.G.2 | 3 | 3 | QA | | Is all documentation related to the diet consistent and presents a clear understanding of the person's dietary needs? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the dietary needs of a person. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, and the DSP training Policy and Procedures. |
| CQ.J | 3 | 0 | | | Does the person take medications during the times services are being provided by this provider? | |
| CQ.J.1 | 3 | 3 | QA | | If the person self-administers medication, is there evidence that an assessment has been completed? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the administration of medication. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, and the DSP training Policy and Procedures. |
| CQ.J.2 | 3 | 10 | QA | Yes | Are the medications safely administered to or by the person? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the administration of medication. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, and the DSP training Policy and Procedures. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

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|--------|---|----|----|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CQ.J.3 | 3 | 15 | QA | Yes | <p>If there is documented evidence medications were administered to the person by an untrained staff, score as an alert.</p> <p>The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the administration of medication. This includes, but may not be limited to the following DDS policies and procedures: Health and Wellness Standards Policy and the Health and Wellness Standards, and the DSP training Policy and Procedures.</p> |
|--------|---|----|----|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

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| H.CQ.44 | 5 | 3 | QA | | Are there strategies in place to assist the person in developing transportation skills? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions and individual service rules. |
| H.CQ.43 | 5 | 3 | QA | | If the person has a desire to work, are they supported to pursue work in the community? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions and individual service rules. |
| DH.10.18 | 6 | 3 | QA | | Does the person spend time with people who have similar interests? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions, waiver rule 1938 and individual service rules. |
| DH.6 | 6 | 3 | QA | | Are opportunities presented for the person to interact with others outside their group? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures, guidelines and waiver rules related to access and participation in the greater community. This includes, but is not limited to: Waiver General Provisions, waiver rule 1938 and individual service rules. |
| DH.7 | 6 | | QI | | Are staff aware and/or responsive to the personal preferences of the person when organizing activities? | The intent of this QI indicator is to measure if the provider has initiated practices that go beyond providing basic human care for the person and incorporates community best practice principles and standards in relation to people being active and comfortable in the community. |
| CQ.31.14 | 7 | 3 | QA | | Is there a general information sheet in the record that meets the requirements outlined in the waiver rules? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to documentation standards. This includes, but may not be limited to the waiver general provisions and the waiver service rules. |
| CQ.17 | 7 | 3 | QA | | If invited by the person, did the service provider participate as a member of the interdisciplinary team at the annual ISP meeting? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the creation and implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, the BSP Policy and procedures, and the Waiver Service rules. |
| CQ.N | 7 | | | | Was an assessment due to be completed during the review period? | |

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| CQ.N.1.14 | 7 | 3 | QA | | Was the assessment completed during the required timeframe? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the completion of assessments. This includes, but may not be limited to the waiver general provisions and the Waiver Service rules. |
| CQ.N.2.14 | 7 | 3 | QA | | Did the assessment contain the correct elements? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the completion of assessments. This includes, but may not be limited to the waiver general provisions and the Waiver Service rules. |
| CQ.18 | 7 | 3 | QA | | Is a copy of the current ISP and Plan of care maintained by the service provider? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the creation and implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Services rules. |
| CQ.19 | 7 | 15 | QA | Yes | Are services being provided in accordance with the waiver prior authorization agreement and in accordance with the ISP? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |
| DS.6.19 | 7 | 3 | QA | | Is there an individualized schedule which meets DDS standards? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the policy and procedure effective 2.4.19 regarding the creation and content of individualized schedules. |
| CQ.36.16 | 7 | 3 | QA | | Are there SMARTer goals that are based on functional assessments and PCT tools? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |
| CQ.32.14 | 7 | 3 | QA | | Are there written teaching strategies that direct the staff on how to execute the goals? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to documentation standards. This includes, but may not be limited to the waiver general provisions and the waiver service rules. |
| CQ.30 | 7 | 10 | QA | | Is progress being documented on the goals/objectives that are outlined in the ISP, as well as any goals/objectives being implemented by the provider? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

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|------------|--------|--------|-------|-------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CQ.35.14 | 7 | 3 | QA | | If ongoing skill development is not reflected on a goal, is there evidence of communication between the team regarding possible modifications? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |
| CQ.20 | 7 | 3 | QA | | Were quarterly reports written and distributed per DDS policy? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation and monitoring of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |
| CQ.21 | 7 | 10 | QA | | Did the quarterly report contain the required information as identified in current guidelines? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation and monitoring of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, and the Waiver Service rules. |
| CQ.22 | 7 | 5 | QA | | Are staff able to describe the purpose and implementation strategies of each objective? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the implementation of the ISP and IPP. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, the DSP Training Policy and Procedure, and the Waiver Service rules. |
| CQ.H | 7 | 0 | | | Were there any changes in the circumstances (eligibility, changes in health, ability to make informed decisions, whether goals are consistent with desires, preferences, support community integration), with the person in the past year? | |
| CQ.H.1 | 7 | 10 | QA | | If there was a significant event in the person's life, was the interdisciplinary team responsive to the changing needs of the person? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the coordination of services for each person. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, the IMEU Policy and procedures, and the Waiver Service rules. |

Provider Certification Review Day Habilitation and Small Group Day Hab Services
Effective 11.7.22

| Identifier | Domain | Weight | QA/QI | Alert | Indicator | Intent |
|------------|--------|--------|-------|-------|---------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CQ.H.2 | 7 | 3 | QA | | If there was a significant event in the person's life, did the provider take action to promote the review and revision of the ISP as appropriate? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to the coordination of services for each person. This includes, but may not be limited to the following DDS policies and procedures: the ISP Policy and procedures, the IMEU Policy and procedures, and the Waiver Service rules. |
| CQ.33.14 | 7 | 3 | QA | | Are progress notes written in accordance with DDS policy? | The intent of this indicator is to ensure provider compliance with all DDS Policies, procedures and published guidelines related to documentation standards. This includes, but may not be limited to the waiver general provisions and the waiver service rules. |