## Statewide Assessment Home and Community Based Settings Governing Regulations-Assisted Living Residences

Section of the Rule T	Type of Setting	Issue	Oppose/Sil	# of sites	Remedial Strategy	Lead Agency	Target Date	Ongoing Monitoring
			ent/					
an individual's rights of L	Assisted Living Residences	Assisted Living Residences law requires that:  Philosophy of care  §44-101.02.  (a) The philosophy of assisted living emphasizes personal dignity, autonomy, independence, privacy, and freedom of choice. Further, the services and physical environment of an assisted living residence should enhance a person's ability to age in place in a homelike setting by increasing or decreasing the amount of assistance in accordance with the individual's changing needs.  Self- Determination, Choice, Independence, Participation, and Privacy  §44-105.02 a) A resident shall have the right to be treated at all times as	Positive	All - 14		DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

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		personal dignity and					
		individuality;					
		(4) With assurance of privacy					
		and the opportunity to act					
		autonomously and share in the					
		responsibility for decisions.					
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b. The setting optimizes		Assisted Living Residences law	Positive	All - 14	DOH	Completed	ICFD surveyors
individual initiative,	Living	requires that:					monitor periodically
autonomy, and	Residence	D. 1					and survey annually
independence in		Philosophy of care					to ensure license
making life choices							compliance
		§44-101.02.					
		(a) The philosophy of assisted living					
		emphasizes personal dignity, autonomy,					
		independence, privacy, and freedom of					
		choice. Further, the services and physical					
		environment of an assisted living					
		residence should enhance a person's					
		ability to age in place in a homelike					
		setting by increasing or decreasing the					
		amount of assistance in accordance with					
		the individual's changing needs.					
		Self- Determination, Choice,					
		Independence, Participation, and					
		Privacy					
		§44-105.02					
		A resident of an ALR shall have the right					
		to live in an environment that:					
		(1) Maintains and enhances the resident's					
		dignity, independence, and respect in full					
		recognition of his or her individuality and					
		physical and mental capabilities;					
		(2) Is creatively designed to counter					
		loneliness, depression, dependence,					
		boredom, and designed to manage					
		difficult behavior;					
		(3) Provides opportunities for					
		socialization, social interaction, leisure					
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activiti	ies, and spiritual and religious ies consistent with the preferences ckground of the resident; and			
(4) Factor for transcommu	cilitates participation by arranging insportation and assisting with unication and social skills and services.			
Dignit	y			
§44-10	05.03			
A resid followi	dent shall have the right to the ing:			
stimula allowir	safe, clean, comfortable, ating, and homelike environment ng the resident to use personal rings to the greatest extent le;			
(2) Cor	ntrol time, space, and lifestyle;			
(3) Frechoice;	ee access to visitors of his or her;			
	receive and send correspondence at any restrictions;			
the exte	maintain personal possessions to tent the health, safety, and well- of others is not disturbed;			
unless uncoerd	remain in his or her living unit a change corresponds to the reed preference of the resident or ms to the obligations set forth in			
the resi dischar prefere	ident's contract respecting rge and is related to the resident's ence or to transfer conditions ted in his or her contract with the			
(7) To	approve his or her roommate ver possible, if the resident is			

c. The setting facilitates individual choice regarding services and supports, and who provides them	Assisted Living Residence	living in a semi- private unit;  (8) To attend or not attend religious services of his or her choice;  (9) To choose activities and schedules consistent with his or her interests, and physical, mental, and psychosocial wellbeing;  (10) To interact with members of the community inside and outside the facility and make choices about aspects of his or her life in the facility that are significant to the resident;  (11) To be free from mental, verbal, emotional, sexual and physical abuse, neglect, involuntary seclusion, and exploitation; and  (12) To participate in the development, implementation, and review of plans designed to provide services to residents, including the Individualized Service Plan.  Assisted Living Residences law requires that:  Philosophy of care  §44-101.02.  (a) The philosophy of assisted living emphasizes personal dignity, autonomy, independence, privacy, and freedom of choice. Further, the services and physical environment of an assisted living residence should enhance a person's ability to age in place in a homelike setting by increasing or decreasing the	Positive	All - 14	There are plans are to draft Assisted Living Residence regulations. This requirement will be recommended to be included as a standard to address this requirement.  Providers enrolled in the EPD Waiver are subject to EPD Waiver Regulations, which specifically address this stipulation.  In addition, the stipulation will be addressed in sub-regulatory guidance for Assisted Living Residences.	DOH	FY18	ICFD surveyors monitor periodically and survey annually to ensure license compliance

		§44-105.04						
		A resident shall have the right to the following:						
		(1) To receive adequate and appropriate services and treatment with reasonable accommodation of individual needs and preferences consistent with their health and physical and mental capabilities and the health or safety of other residents;						
		(2) To have access to appropriate health and social services, including social work, home health, nursing, rehabilitative, hospice, medical, dental, dietary, counseling, and psychiatric services in order to attain or maintain the highest practicable physical, mental and psychosocial well-being;						
		(3) To remain in the current setting, forgoing a recommended transfer to obtain additional services as contracted for by the resident or secure additional services in a manner acceptable to the ALR;						
		(4) To engage in a shared responsibility agreement with the ALR which is acceptable to the resident and the ALR and does not violate any applicable law;						
		(5) To refuse to participate in any service once the potential consequences of such participation have been explained and a shared responsibility agreement has been reached, if necessary, between the resident, the surrogate, and the ALR;						
		(6) To be free of physical restraints at all times; and						
		(7) To be free of chemical restraints.						
d. The setting provides	Assisted	Assisted Living Residences law does	Silent	All -14	Although the residents of an	DOH	FY18	ICFD surveyors

opportunities to seek employment and work in competitive integrated settings, engage in community life, and control personal resources.	Living Residence	not specifically address this provision.			assisted living, through their resident agreements, have control of their personal resources and community engagement, the law does not specifically address this requirement.  Typically, assisted living residences in the District of Columbia provide services to the elderly and retirees.  There are plans are to draft Assisted Living Residence regulations. This requirement will be recommended to be included as a standard to address this requirement.  Providers enrolled in the EPD Waiver are subject to EPD Waiver Regulations, which specifically address this stipulation.  In addition, the stipulation will be addressed in sub-regulatory guidance for Assisted Living Residences.			monitor periodically and survey annually to ensure license compliance
e. The setting is integrated and supports access to the greater community	Assisted Living Residence	Assisted Living Residences law requires that:  Self- Determination, Choice, Independence, Participation, and Privacy  §44-105.02  (b) A resident of an ALR shall have the right to live in an environment that:  (1) Maintains and enhances the resident's dignity, independence, and respect in full recognition of his or her individuality and physical and mental capabilities;  (2) Is creatively designed to counter loneliness, depression, dependence,	Positive	All -14		DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

boredom, and designed to manage difficult behavior;		
(3) Provides opportunities for socialization, social interaction, leisure		
activities, and spiritual and religious activities consistent with the preferences and background of the resident; and		
(4) Facilitates participation by arranging for transportation and assisting with communication and social skills and other services.		
Dignity		
§44-105.03		
A resident shall have the right to the following:		
(1) A safe, clean, comfortable, stimulating, and homelike environment allowing the resident to use personal belongings to the greatest extent possible;		
(2) Control time, space, and lifestyle;		
(3) Free access to visitors of his or her choice;		
(4) To receive and send correspondence without any restrictions;		
(8) To attend or not attend religious services of his or her choice;		
(9) To choose activities and schedules consistent with his or her interests, and		
physical, mental, and psychosocial wellbeing;		
(10) To interact with members of the community inside and outside the facility and make choices about aspects of his or		

		her life in the facility that are significant to the resident;						
opportunities to engage   Liv	ssisted iving esidence	Assisted Living Residences law requires that:  Self- Determination, Choice, Independence, Participation, and Privacy  § 44-105.02  A resident of an ALR shall have the right to live in an environment that:  (1) Maintains and enhances the resident's dignity, independence, and respect in full recognition of his or her individuality and physical and mental capabilities;  (2) Is creatively designed to counter loneliness, depression, dependence, boredom, and designed to manage difficult behavior;  (3) Provides opportunities for socialization, social interaction, leisure activities, and spiritual and religious activities consistent with the preferences and background of the resident; and  (4) Facilitates participation by arranging for transportation and assisting with communication and social skills and other services.  Dignity  § 44-105.03  A resident shall have the right to the following:  A safe, clean, comfortable, stimulating, and homelike environment allowing the resident to use personal belongings to the	Positive	All -14	The new regulations drafted will incorporate a provision that food must be accessible to residents at all times.  If this new regulation is not approved overall, there will be a stipulation in the regulation added that requires all Assisted Living Residences participating in the waiver program to comply with established Home and Community Based Waiver Services rules. [Under discussion.]	DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

g. the setting provides opportunities to control personal resources	Assisted Living Residence	greatest extent possible;  (2) Control time, space, and lifestyle;  (3) Free access to visitors of his or her choice;  (4) To receive and send correspondence without any restrictions;  (8) To attend or not attend religious services of his or her choice;  (9) To choose activities and schedules consistent with his or her interests, and physical, mental, and psychosocial wellbeing;  (10) To interact with members of the community inside and outside the facility and make choices about aspects of his or her life in the facility that are significant to the resident;  Assisted Living Residences law requires that  Philosophy of care  §44-101.02.  (a) The philosophy of assisted living emphasizes personal dignity, autonomy, independence, privacy, and freedom of choice. Further, the services and physical environment of an assisted living residence should enhance a person's ability to age in place in a homelike setting by increasing or decreasing the amount of assistance in accordance with the individual's changing needs.	All -14	Clarification Note: Each resident is individually assessed to determine the level of supports needed. The financial agreement is drafted specifically to address the personal needs and desires of the identified resident based their input and/or of their designee.	DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance
		setting by increasing or decreasing the amount of assistance in accordance with					

h. The setting provides opportunities to receive services in the community to the same	Assisted Living Residence	purchasing or renting of essential or desired equipment and supplies; (C) The coordinating and contracting for services not covered by the resident agreement; and (D) The purchasing of medications and durable medical equipment; (2) Separate and accurate records of all funds and personal property deposited with or managed by the ALR for the benefit of a resident which include a receipt stating the date, amount, and purpose of any transaction and the current balance  Assisted Living Residences law requires that  Accommodation of needs	Positive	All -14	DOH	Completed	ICFD surveyors monitor periodically and survey annually
community to the same degree of access as individuals not receiving Medicaid HCBS	Residence	Accommodation of needs.  § 44-105.04.  A resident shall have the right to the following:  (1) To receive adequate and appropriate services and treatment with reasonable accommodation of individual needs and preferences consistent with their health					to ensure license compliance

		the health or safety of other residents;  (2) To have access to appropriate health and social services, including social						
		work, home health, nursing, rehabilitative, hospice, medical, dental, dietary, counseling, and psychiatric services in order to attain or maintain the highest practicable physical, mental and psychosocial well-being;						
		(3) To remain in the current setting, forgoing a recommended transfer to obtain additional services as contracted for by the resident or secure additional services in a manner acceptable to the ALR;						
i. The setting is selected by the individual from among other options including non-disability specific settings and a private unit in a residential setting	Assisted Living Residence	Assisted Living Residences law does not address this requirement.	Silent	All -14	DOH is not responsible for placement services. DOH's regulatory oversight in this domain occurs after placement.	DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance
j. If provider-owned or controlled, the setting provides a specific unit/dwelling that is owned, rented, or occupied under a legally enforceable agreement.	Assisted Living Residence	Assisted Living Residences law states that:  Resident agreements.  § 44-106.02.  (a) A written contract must be provided to the resident prior to admission and signed by the resident or surrogate, if necessary, and a representative of the ALR. The nonfinancial portions of the contract shall include the following:  Unit assignment and procedures if changes occur	Positive	All-14		DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

k. If provider –owned or controlled, the setting provides the same responsibilities/protections from eviction as all tenants under landlord tenant law of state, county, city or other designated entity	Assisted Living Residences law requires:  § 44-106.08. Discharge and transfer.  (a) When a resident wishes to be discharged from an ALR, the resident or surrogate shall give 30 day written notice to the ALR.  (b) When a sudden, unexpected, and lifethreatening medical emergency arises necessitating the immediate transfer of the resident to an acute care facility, the ALR shall immediately notify the surrogate and the resident's healthcare provider of the transfer. The ALR shall provide the surrogate and healthcare provider with information concerning cause of the transfer and the name and location of the acute care facility.  (c) After a resident is transferred pursuant to subsection (b) of this section, his or her return to the ALR shall be determined by the renegotiation of the ISP with the resident or surrogate, the resident's healthcare provider, and the ALR. If, in renegotiating the ISP, the interested parties determine that the resident can no longer safely reside at the ALR, discharge planning shall take place in consultation with the resident or surrogate, the resident's healthcare provider, and the ALR. Under these	Positive	All -14	As we discussed, the District will continue to comply with D.C. Law 6-108, the transfer discharge law, which provides each resident due process.	DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

		circumstances the ALR shall waive the					
		30 day notice requirement.					
		(d) Before a resident may be discharged					
		on an involuntary basis, the ALR shall					
		provide 30 days written notice to the					
		resident and surrogate of the planned					
		discharge, and make arrangements for the					
		discharge in consultation with the					
		resident, the surrogate, and the					
		healthcare provider. Any involuntary					
		discharge shall conform to the notice and					
		process established in subchapter III of					
		Chapter 10 of this title.					
		Chapter 10 of this title.					
		(e) Although an ALR shall make every					
		effort to avoid discharge, grounds for					
		involuntary discharge may include the					
		following:					
		(1) Failure to pay all fees and costs as					
		specified in the contract; and					
		(2) Inability of the ALR to meet the care					
		needs of the resident as provided in the					
		ISP.					
		(f) An involuntary discharge shall be					
		canceled upon the occurrence of one of					
		the following:					
		(1) The payment of all monies owed at					
		any time prior to discharge; or					
		(2) The negotiation of a new ISP.					
		(2) The negotiation of a new 151.					
l. if the setting is	Assisted	Discharge and transfer.	Positive	All -14	DOH	Completed	ICFD surveyors
provider-owned or	Living	Discharge and transier.	I USILIVE	/ XIII - I T		Completed	monitor periodically
controlled and the	Residence	§ 44-106.08.					and survey annually
tenant laws do not	Residence	§ 44-100.00.					to ensure license
		(a) When a resident wishes to be					
apply, the state ensures							compliance
that the lease, residency		discharged from an ALR, the resident or					
agreement or other		surrogate shall give 30 day written notice					
written agreement is in		to the ALR.					
place providing		4					
protections to address		(b) When a sudden, unexpected, and life-					
eviction processes and		threatening medical emergency arises					
appeals comparable to		necessitating the immediate transfer of					
1		the resident to an acute care facility, the	1	1			1
those provided under		ALR shall immediately notify the					

landlord tenant law.	surrogate and the resident's healthcare
	provider of the transfer. The ALR shall
	provide the surrogate and healthcare
	provider with information concerning
	cause of the transfer and the name and
	location of the acute care facility.
	(c) After a resident is transferred
	pursuant to subsection (b) of this section,
	his or her return to the ALR shall be
	determined by the renegotiation of the
	ISP with the resident or surrogate,
	the resident's healthcare provider, and the
	ALR. If, in renegotiating the ISP, the
	interested parties determine that the
	resident can no longer safely reside at the
	ALR, discharge planning shall take place
	in consultation with the resident or
	surrogate, the resident's healthcare
	provider, and the ALR. Under these
	circumstances the ALR shall waive the
	30 day notice requirement.
	30 day notice requirement.
	(d) Refere a regident may be discharged
	(d) Before a resident may be discharged
	on an involuntary basis, the ALR shall
	provide 30 day written notice to the
	resident and surrogate of the planned
	discharge, and make
	arrangements for the discharge in
	consultation with the resident, the
	surrogate, and the healthcare provider.
	Any involuntary discharge shall conform
	to the notice and process established in
	subchapter III of Chapter 10 of this title.
	(e) Although an ALR shall make every
	effort to avoid discharge, grounds for
	involuntary discharge may include the
	following:
	(1) Failure to pay all fees and costs as
	specified in the contract; and
	(2) Inability of the ALR to meet the care
	needs of the resident as provided in the
	ISP.
	(f) An involuntary discharge shall be

m. If provider-owned or controlled, the setting provides that each individual has	Assisted Living Residence	(2) The negotiation of a new ISP.  Assisted Living Residences law requires that:  Self- Determination, Choice,	Positive	All -14		рон	Completed	ICFD surveyors monitor periodically and survey annually to ensure license
privacy in their sleeping or living unit.		Independence, Participation, and Privacy § 44-105.02  A resident shall have the right to be treated at all times as follows:  (1) Courteously; (2) Respectfully; (3) With full recognition of personal dignity and individuality; (4) With assurance of privacy and the opportunity to act autonomously and share in the responsibility for decisions						compliance
n. If provider-owned or controlled, the setting provides units with lockable entrance doors, with appropriate staff having keys to doors as needed	Assisted Living Residence	Assisted Living Residences law does not specifically require this provision. The current law states:  § 44-110.11 Special requirements for ALRs with 17 beds or more.  (e) Living units or bedrooms may be locked at the discretion of the residents, except when the Resident's assessment documents indicate otherwise.	Silent	All -14	DOH will draft regulation to specifically include the language for this provision to apply to all ALRs.		FY18	ICFD surveyors monitor periodically and survey annually to ensure license compliance
o. If provider-owned or controlled, the setting provides individuals who are sharing units a choice of roommates.	Assisted Living Residences	Dignity.  § 44-105.03.  A resident shall have the right to the following:  (7) To approve his or her roommate whenever possible, if the resident is living in a semi-private unit;	Positive	All -14		DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance
p. If provider-owned or controlled, the setting	Assisted Living	Assisted Living Residences law does not specifically require this provision;	Positive	All -14	DOH will draft regulation to specifically include the language	DOH	FY18	ICFD surveyors monitor periodically

provides individuals with the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.	Residences	however, the current law states:  Self-determination, choice, independence, participation, and privacy.  § 44-105.02.			of this provision.			and survey annually to ensure license compliance
		<ul><li>(b) A resident of an ALR shall have the right to live in an environment that:</li><li>(1) Maintains and enhances the resident's dignity, independence, and respect in full recognition of his or her individuality and</li></ul>						
		physical and mental capabilities;  § 44-105.03. Dignity.  A resident shall have the right to the following:  (1) A safe, clean, comfortable,						
		stimulating, and homelike environment allowing the resident to use personal belongings to the greatest extent possible;  (2) Control time, space, and lifestyle;						
		<ul><li>(3) Free access to visitors of his or her choice;</li><li>(4) To receive and send correspondence without any restrictions;</li></ul>						
q. If provider-owned or	Assisted	(5) To maintain personal possessions to the extent the health, safety, and wellbeing of others is not disturbed;  Assisted Living Residences law requires	Positive	All -14	DOH will draft regulation to	рон	FY18	ICFD surveyors
controlled, the setting provides individuals with the freedom and support to control their schedules and activities	Living Residences	that  Dignity.  § 44-105.03.			specifically include the language regarding the access to food at any time.			monitor periodically and survey annually to ensure license compliance

r. If provider-owned or controlled, the setting allows individuals to have visitors at any time.	Assisted Living Residences	A resident shall have the right to the following:  (2) Control time, space, and lifestyle;  Assisted Living Residences law requires that:  Dignity.  § 44-105.03.  A resident shall have the right to the following:  (3) Free access to visitors of his or her choice;	Positive	All-14		DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance
s. If provider-owned or controlled, the setting is physically accessible to the individual.	Assisted Living Residences	Philosophy of care  §44-101.02.  Philosophy of care.  (a) The philosophy of assisted living emphasizes personal dignity, autonomy, independence, privacy, and freedom of choice. Further, the services and physical environment of an assisted living residence should enhance a person's ability to age in place in a homelike setting by increasing or decreasing the amount of assistance in accordance with the individual's changing needs.  (b) This act shall be interpreted in accordance with the following philosophy of care:  (1) An assisted living residence is a program which combines housing,	Positive	All-14	Clarification It is unclear why the rules fail to meet the requirement stipulated by CMS.  The documented rule provides evidence that ensure individuals are residing in a setting that is physically accessible supports their independence. Furthermore, the rule provides for the individual to age in place and mandates the provider to make accommodations when necessary to support aging in place. Finally, there is a provision to ensure the residence is wheelchair accessible.	DOH	Completed	ICFD surveyors monitor periodically and survey annually to ensure license compliance

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health, and supportive services for the		
support of residents aging in place. The		
function of an assisted living residence is		
to provide or coordinate personalized		
assistance through activities of daily		
living, recreational activities, 24-hour		
supervision, and provision or		
coordination of health services and		
instrumental activities of daily living as		
needed.		
niceded.		
(2) The desire of comics and		
(2) The design of services and		
environment should acknowledge that a		
significant number of residents may have		
some form of cognitive impairment.		
Services and environment should offer a		
balance between choice and safety in the		
least restrictive setting.		
(3) Both the program and environment		
should support resident dignity, privacy,		
independence, individuality, freedom of		
choice, decision making, spirituality, and		
involvement of family and friends.		
(4) Residents should be supported to		
age in place by minimizing the need to		
move through reasonable		
accommodation and, when necessary,		
through coordination and use of home		
health agencies, hospice, rehabilitation		
agencies, and other licensed healthcare		
providers.		
(5) Quality, affordable assisted living		
residence care should be accessible to all		
individuals residing in the District		
regardless of income.		
Accessibility		
An ALR that provides services for		
wheelchair-bound residents, shall insure		
that:		
mat.		
(1) Doorways and hallways provide a		
clear opening of at least 32 inches; and		
Clear opening of at least 32 inches, and		

	(2) Thresholds exceeding 1/2 inch are		
	modified to provide a 1:12 maximum		
	slope		